

PATENT
Attorney Docket No.: DRG-001
(9287/2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Fenlon *et al.*
SERIAL NO.: Not yet assigned GROUP NO.: Not yet assigned
FILING DATE: Herewith (August 22, 2003) EXAMINER: Not yet assigned
TITLE: Method and Apparatus for Monitoring Distributed Systems

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: August 22, 2003

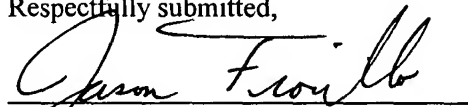
Reg. No.: 52,892

Tel. No.: (617) 310-8471

Fax No.: (617) 248-7100

2668694

Respectfully submitted,



Jason P. Fiorillo

Attorney for Applicants

Testa, Hurwitz, & Thibault, LLP

High Street Tower

125 High Street

Boston, Massachusetts 02110

FORM PTO - 1449				ATTORNEY DOCKET NO.: DRG-001			
INFORMATION DISCLOSURE STATEMENT				APPLICANTS: Fenlon <i>et al.</i>			
				SERIAL NO.: Not yet assigned			
				FILING DATE: Herewith (August 22, 2003)			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	5,101,348	03/31/92	Arrowood et al.	395	200	06/23/88
	A2	5,375,070	12/20/94	Hershey et al.	364	550	03/01/93
	A3	5,475,625	12/12/95	Glaschick	395	600	11/17/94
	A4	5,504,921	04/02/96	Dev et al.	395	800	05/16/94
	A5	5,615,135	03/25/97	Waclawsky et al.	364	551.01	06/01/95
	A6	5,634,009	05/27/97	Iddon et al.	395	200.11	10/27/95
	A7	5,675,510	10/07/97	Coffey et al.	364	514 A	06/07/95
	A8	5,696,701	12/09/97	Burgess et al.	364	551.01	07/12/96
	A9	5,758,071	05/26/98	Burgess et al.	395	200.5	07/12/96
	A10	5,796,663	08/18/98	Park et al.	365	200	12/12/96
	A11	5,799,154	08/25/98	Kuriyan	709	223	07/27/96
	A12	5,819,028	10/06/98	Manghirmalani et al.	395	185.1	04/16/97
	A13	5,974,237	10/26/99	Shurmer et al.	395	200.54	12/18/96
	A14	5,974,457	10/26/99	Waclawsky et al.	709	224	12/23/93
	A15	6,058,102	05/02/00	Drysdale et al.	370	252	11/06/98
	A16	6,115,393	09/05/00	Engel et al.	370	469	07/21/95
	A17	6,216,119 B1	04/10/01	Jannarone	706	26	11/19/97
	A18	6,269,401 B1	07/31/01	Fletcher et al.	709	224	08/28/98
	A19	6,327,550	12/04/01	Vinberg et al.	702	186	03/05/01
	A20	6,359,976 B1	03/19/02	Kalyanpur et al.	379	134	09/14/99
	A21	6,381,306 B1	04/30/02	Lawson et al.	379	32.01	06/08/98

2668669

EXAMINER	DATE CONSIDERED
-----------------	------------------------